

New developments in $N=2$ supersymmetric gauge theories: integrability, black holes

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Based on: [arXiv:2208.14031](#), [arXiv:2112.11434](#), [arXiv:1908.08030](#)
with Davide Fioravanti and Hongfei Shu

On extended supersymmetric gauge theories

- $\mathcal{N} = 1$ SUSY gauge theory:
 - conjectured supersymmetric partner: **pairs** of particles;
 - not yet found at LHC, but **not excluded**.
- Extended $\mathcal{N} = 2, \mathcal{N} = 4$ SUSY gauge theories:
 - quartets and octets of particles, mathematically richer;
 - although more distant from experiments, can give **insights on conceptual mechanism of QFT** (e.g. confinement);
 - **integrability** has longly **survived also without SUSY**;
 - also **many applications** beyond particle physics:
 - $\mathcal{N} = 4$ CFT holographic dual to String Theory on AdS spacetime;
 - $\mathcal{N} = 2$ also allows non CFTs, recently new insight on it:

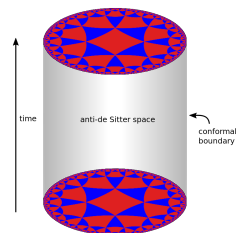
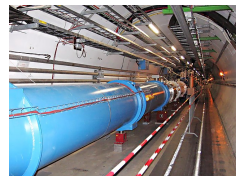
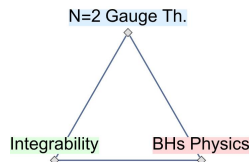
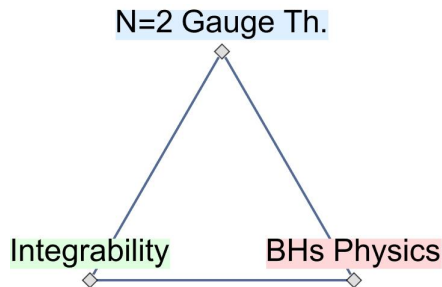


Figure:
(Wikicommons/J.Herzog,A.Dunkel)

Outline

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Summary of $\mathcal{N} = 2$ gauge (Seiberg-Witten) theory

- Seiberg-Witten (SW) theory is the **effective theory** for $\mathcal{N} = 2$ gauge theory.
- Its lagrangian is expressed in terms of a SW prepotential $\mathcal{F}_{SW}$

$$\mathcal{L} = \frac{1}{16\pi} \text{Im} \int d^4x \left[\frac{1}{2} \int d^2\theta \mathcal{F}_{SW}''(\phi) W^\alpha W_\alpha + \int d^2\theta d^2\bar{\theta} \phi^\dagger \mathcal{F}_{SW}'(\phi) \right]. \quad (1)$$

- $\mathcal{F}_{SW}$ can be obtained from **classical SW periods** $a^{(0)}$, $a_D^{(0)}$

$$a_D^{(0)} = \frac{\partial \mathcal{F}}{\partial a^{(0)}}, \quad (2)$$

$$a^{(0)}(u, \mathbf{m}, \Lambda) = \oint_{\mathcal{A}} \lambda(x, u, \mathbf{m}, \Lambda) dx, \quad a_D^{(0)}(u, \mathbf{m}, \Lambda) = \oint_{\mathcal{B}} \lambda(x, u, \mathbf{m}, \Lambda) dx, \quad (3)$$

which are integrals of a SW differential λ related to the SW hyperelliptic curve

$$\lambda \sim \sqrt{x^3 + c_2 x^2 + c_1 x + c_0}. \quad (4)$$

Quantum Seiberg-Witten curve and gauge periods

- To compute **instanton contributions** spacetime is deformed by two complex parameters ϵ_1, ϵ_2 into the **Ω -background**.
- More easily connected to integrability and gravity is the **Nekrasov-Shatashvili (NS) limit** $\epsilon_2 \rightarrow 0, \epsilon_1 = \hbar \neq 0$, in which the **quantum SW curves** are just ODEs in $y \sim -\ln x$

$$-\hbar^2 \frac{d^2}{dy^2} \psi(y) + [U(y; \mathbf{m}, \Lambda) - u] \psi(y) = 0. \quad (5)$$

- The NS prepotential $\mathcal{F}_{NS}$ has as leading $\hbar \rightarrow 0$ order the SW prepotential

$$\mathcal{F}_{NS} = \frac{1}{\hbar} \mathcal{F}_{SW} + O(\hbar) + O(e^{-\frac{1}{\hbar}}) \quad \hbar \rightarrow 0 \quad (6)$$

and is obtained define **quantum exact periods** a, a_D which are either

- **exact integrals** of $\mathcal{P}(y) = -i \frac{d}{dy} \ln \psi(y)$
- series in Λ with coefficients given by **combinatorics on Young diagrams** (of gauge repr.)

Integrability, generally speaking

- Very broadly speaking, integrability can be considered as the study of **non-linear** phenomena in nature in a quantitative **exact way (non perturbative)**.
- Integrable structures appear also in **4D SUSY gauge theories**, typically with $\mathcal{N} = 4$ supersymmetry but also more recently with $\mathcal{N} = 2$.
- The hallmark of quantum integrability is the presence of **infinite (local) integrals of motion commuting** with each other

$$[\mathbf{I}_{2n-1}, \mathbf{I}_{2m-1}] = 0, \quad (7)$$

which are also asymptotic expansion coefficients of the **Baxter's Q operator**

$$\ln \mathbf{Q}(\theta) \simeq -C_0 e^\theta - \sum_{n=1}^{\infty} e^{\theta(1-2n)} C_n \mathbf{I}_{2n-1} \quad \theta \rightarrow +\infty. \quad (8)$$

ODE/IM correspondence approach to integrability

- ODE/IM correspondence is an approach to integrability [arXiv:9812211, 9812247, 9906219], in which the **Q function** (vacuum eigenvalue of Q operator) is typically the wronskian of the regular solutions at different singular points

$$Q = W[\psi_+, \psi_-] \quad \text{with} \quad \psi_{\pm}(y) \rightarrow 0 \quad y \rightarrow \pm\infty \quad (9)$$

of some ODE, like (for self-dual Liouville IM):

$$-\frac{d^2}{dy^2}\psi + \left[e^{2\theta}(e^y + e^{-y}) + P^2 \right] \psi = 0. \quad (10)$$

- One can derive also **T, Y functions** as well as the **functional and integral equations** they satisfy.
- ODE/IM is an **elegant approach** to integrability which **allows to apply it to very different physical theories!**

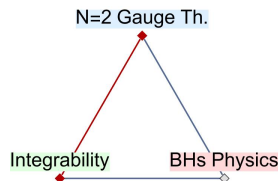
Basic gauge-integrability identifications

- Using ODE/IM correspondence, we **connected** the **basic integrability functions** to the gauge exact quantum periods a , a_D , proving relations like (for self-dual Liouville and $SU(2)$ $N_f = 0$):

$$\begin{aligned} Q(\theta, P) &= \exp \frac{2\pi i}{\hbar} a_D(\hbar, u, \Lambda_0) \\ T(\theta, P) &= 2 \cos \left[\frac{2\pi}{\hbar} a(\hbar, u, \Lambda_0) \right], \end{aligned} \quad (11)$$

under the parameters correspondence

$$\frac{\hbar}{\Lambda_0} = \frac{\epsilon_1}{\Lambda_0^2} = e^{-\theta} \quad \frac{u}{\Lambda_0^2} = \frac{1}{2} P^2 e^{-2\theta}. \quad (12)$$



- These for the self-dual Liouville IM and $SU(2)$ $N_f = 0$ gauge theory [[arXiv:1908.08030](#)] but also similar ones for the Perturbed Hairpin IM and $SU(2)$ $N_f = 1, 2$ [[arXiv:2208.14031](#)] and $SU(3)$ $N_f = 0$ gauge theories [[arXiv:1909.11100](#)], work in progress in $SU(2)$ $N_f = 3, 4$.

New results for both gauge theory and integrability

- This basic identification allowed us to find several **new results for both sides**, for instance:
 - an interpretation of the integrability functional relations as **new exact R -symmetry relations for the periods**;
 - an exact non linear integral equation (**Thermodynamic Bethe Ansatz, TBA**) for the **gauge (dual) periods**;
 - **new formulas for the local integrals of motion** in terms of gauge periods
 - **exact computation of the $\mathcal{N} = 2$ gauge prepotential $\mathcal{F}_{NS}$** (effective low energy theory)
- For instance, the **Baxter's TQ relation**

$$T(\theta, u) = \frac{Q(\theta - i\pi/2, -u) + Q(\theta + i\pi/2, -u)}{Q(\theta)} \quad (13)$$

turns out to be a new exact R -symmetry $u \leftrightarrow -u$ relation for the periods, reducing to the known asymptotic ones in the limit $\hbar \rightarrow 0 \iff \theta \rightarrow \infty$ (for the gauge periods expansion modes $a^{(n)}, a_D^{(n)}$)

$$a_D^{(n)}(-u) = i(-1)^n \left[-\text{sgn}(\text{Im } u) a_D^{(n)}(u) + a^{(n)}(u) \right]. \quad (14)$$

Three different physical theories, same mathematics!

$$-\frac{\hbar^2}{2} \frac{d^2}{dy^2} \psi(y) + [\Lambda^2 \cosh y + u] \psi(y) = 0 \quad \text{N=2 GAUGE TH. (SU(2) } N_f = 0) \quad (15)$$

$$\frac{\hbar}{\Lambda_0} = \frac{\epsilon_1}{\Lambda_0} = e^{-\theta} \quad \frac{u}{\Lambda_0^2} = \frac{1}{2} P^2 e^{-2\theta} \quad \Downarrow \quad (16)$$

$$-\frac{d^2}{dy^2} \psi(y) + [e^{2\theta}(e^y + e^{-y}) + P^2] \psi(y) = 0 \quad \text{INTEGRABILITY (sd-Liouville)} \quad (17)$$

$$r = L e^{y/2} \quad \omega L = -2i e^\theta \quad P = \frac{1}{2}(l+2) \quad \Downarrow \quad \phi(r) = e^{y/2} \psi(y) \quad (18)$$

$$\frac{d^2 \phi}{dr^2} + \left[\omega^2 \left(1 + \frac{L^4}{r^4} \right) - \frac{(l+2)^2 - \frac{1}{4}}{r^2} \right] \phi(r) = 0 \quad \text{BLACK HOLES PERT. (D3 brane)} \quad (19)$$

Mathematical definition of quasinormal modes

- **Perturbations of the black holes (BHs)** metric or fields turns out to be **solutions Φ of some PDEs** of the form

$$\left\{ +\frac{\partial^2}{\partial t^2} - \frac{\partial^2}{\partial y^2} + U(y) \right\} \Phi(t, y) = 0, \quad (20)$$

- By standard techniques (Laplace tr. $\rightarrow$ non-hom. ODE $\rightarrow$ hom. ODE) we can express the perturbation Φ as an expansion over the **quasinormal modes (QNMs)** frequencies ω_n

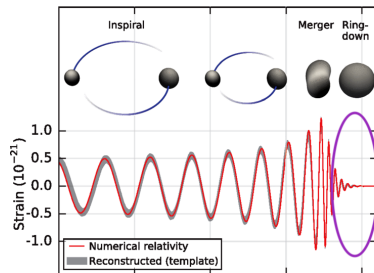
$$\Phi(t, y) = \sum_n e^{i\omega_n t} \text{Res} \left(\frac{1}{W(s)} \right) \bigg|_{\omega_n} \int_{-\infty}^{\infty} \psi_{-}(\omega_n, y_{<}) \psi_{+}(\omega_n, y_{>}) \mathcal{I}(\omega_n, y') dy'. \quad (21)$$

- QNMs ω_n are so found to be **zeros of wronskian of the fundamental regular solutions** at $y \rightarrow \pm\infty$ of the ODE:

$$W[\psi_{+}, \psi_{-}](\omega_n) = 0, \quad \text{with} \quad \psi_{\pm}(y) \rightarrow 0 \quad y \rightarrow \pm\infty. \quad (22)$$

Colliding BHs and quasinormal modes

- A **black hole collision** can be divided in 3 phases: **inspiral**, **merger** and **ringdown**.



- The **quasinormal modes** are responsible for the **damped oscillations** appearing, for example, in the **ringdown phase** of two colliding BH and have a direct connection to **gravitational waves observations**.

The new era of gravitational phenomenology

- In the last few years, **gravitational waves** detections and **black hole imaging** have opened the doors of **gravitational phenomenology**. [Mayerson:2020]
- Finally, we can fully scientifically investigate whether real astrophysical black holes show **deviations from general relativity (GR)**, such as **horizon scale structure**.

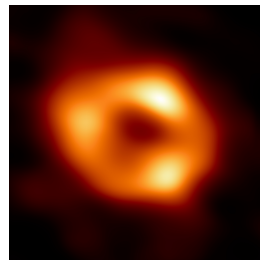
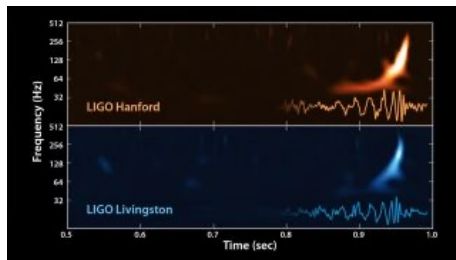


Figure: LIGO, Event Horizon

- Since BHs are the simplest and most perfect objects in gravity, someone asks:
Could BHs hold the same surprises that the electron and the hydrogen atom did when they started to be experimentally probed? [Cardoso,Pani:2017]

Baxter's Q function and quasinormal modes

- We proved that the **Baxter's Q function** is defined **precisely as the wronskian of the regular solutions**

$$Q(\theta) = W[\psi_+, \psi_-], \quad \text{with} \quad \psi_{\pm} \rightarrow 0 \quad y \rightarrow \pm\infty \quad (23)$$

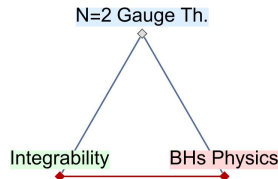
- Crucially, the **QNMs condition** (22) translates into

$$Q(\theta_n) = 0, \quad (24)$$

namely the **zeros of the Baxter's Q function** which are called **Bethe roots**.

- By defining the **Y function** as some quadratic function of Q we can prove an equivalent condition

$$Y(\theta) = Q^2(\theta) \quad Y(\theta_n - i\pi/2) = -1 \quad (25)$$



Thermodynamic Bethe Ansatz

- The Y functions satisfies a functional equation (Y system) which can be inverted into the **Thermodynamic Bethe Ansatz (TBA)** for $\varepsilon(\theta) = -\ln Y(\theta)$ (here for $SU(2)$ $N_f = 0$):

$$\varepsilon(\theta) = \frac{16\sqrt{\pi^3}}{\Gamma(\frac{1}{4})^2} e^\theta - 2 \int_{-\infty}^{\infty} \frac{\ln[1 + \exp\{-\varepsilon(\theta')\}]}{\cosh(\theta - \theta')} \frac{d\theta'}{2\pi}. \quad (26)$$

with $\varepsilon(\theta, P) \simeq 8P\theta$, $P > 0$ as $\theta \rightarrow -\infty$.

- The **QNMs condition** in gravity variables **reads also as**

$$\varepsilon(\theta_{n'} - i\pi/2) = -i\pi(2n' + 1). \quad (27)$$

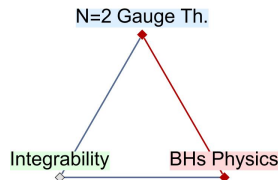
- Through this TBA and quantization condition we have found a **new way to numerically compute QNMs**.

A surprising application

- In the last two years, a **surprising connection** between $\mathcal{N} = 2$ supersymmetric $SU(2)$ NS gauge theories and black holes (BHs) perturbation theory has emerged [arXiv:2006.06111, 2105.04245, 2105.04483, arXiv:2109.09804, ...].
- It was first found that **quantization conditions on the gauge periods** a, a_D allow to **compute the QNMs** ω_n spectrum of black holes from gauge theory methods.

$$\frac{1}{\hbar} a_D(\hbar, u, m, \Lambda) = \frac{i}{2} \left(n + \frac{1}{2} \right), \quad n = 0, 1, 2, \dots \quad (28)$$

- The importance of this result is manifold, as it:
 - constitutes a **novel analytic characterization of QNMs**;
 - has already allowed to **find new results** for the BHs theory;
 - constitutes an **unexpected application of Supersymmetry**.



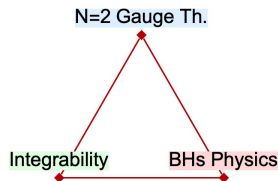
Quantum gauge B period from TBA

- We proved a **relation between the pseudoenergy** $\epsilon = -\ln Y = -2\ln Q$ **and the gauge periods**

$$Q = \exp \frac{2\pi i}{\hbar} a_D \quad \Longleftrightarrow \quad \epsilon = -\frac{4\pi i}{\hbar} a_D. \quad (29)$$

- We can prove it analytically by **Cauchy theorem relating the different integration contours** in the complex plane (in red the branch cuts).
- We see that from our formalism **it follows immediately that QNMs are given by quantization conditions on the gauge periods**

$$\frac{1}{\hbar} a_D(\hbar, u, \Lambda) = \frac{i}{2} \left(n' + \frac{1}{2} \right). \quad (30)$$



Comparison of methods of computation of quasinormal modes

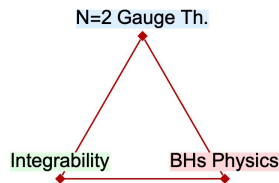
n	l	TBA	Leaver	WKB
0	1	$0.869623 - 0.372022i$	$0.868932 - 0.372859i$	$0.89642 - 0.36596i$
0	2	$1.477990 - 0.368144i$	$1.477888 - 0.368240i$	$1.4940 - 0.36596i$
0	3	$2.080200 - 0.367076i$	$2.080168 - 0.367097i$	$2.0916 - 0.36596i$
0	4	$2.680363 - 0.366637i$	$2.680350 - 0.366642i$	$2.6893 - 0.36596i$

Table: Comparison of QNMs in different methods ($n' = 0$, $SU(2)$ $N_f = 2$ gauge theory with $\Sigma_1 = \Sigma_3$).

- Computing QNMs has been typically quite **laborious**, also because of their **few exact analytic characterizations**.
- The standard analytic method is the one with the **continued fractions** by Leaver.
- Application of $\mathcal{N} = 2$ **gauge theory** is a new analytic characterization of QNMs, but it requires a **nontrivial re-summation procedure** for $\omega_n \sim \Lambda \sim 1$.
- Our **integrability** exact method is **direct and simple**, but now we have developed for just a **few models** (see below).

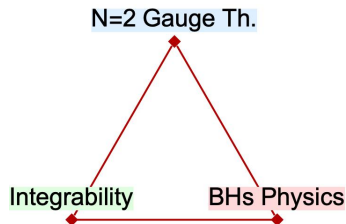
Other applications of integrability

- Through ODE/IM can also define also **Baxter's T , $\tilde{T}$ functions** as wronskians of solutions at $y \rightarrow \pm\infty$ and derive TQ and T periodicity functional relations. From this:
 - since we have proven a relation between T and a gauge period, we give also a proof of the **alternative quantization condition for QNMs on a** for the $SU(2)$ $N_f = 0$ theory:
 - moreover we find that the **Couch-Torrence symmetry** corresponds to T **self-duality**.
- We notice that **much of the BH theory seems to go in parallel to the ODE/IM correspondence** construction and its 2D integrable field theory interpretation, beyond the determination of QNMs!
 - For instance also the **greybody factor** that parametrizes the **Hawking radiation** seems to be **ratio of Q s**.



Conclusions

- We have shown how **2D integrable models** when studied in **ODE/IM correspondence** approach can find
 - a natural connection to (deformed) $\mathcal{N} = 2$ **supersymmetric gauge theory**
 - as well as to **black hole perturbation theory**
 - and shed light on the **relation** recently found **between the two**.
- This allows to
 - **find new results** on all three sides of the correspondence
 - at the **non-perturbative exact level**.
- In these new directions **much extension work in either breadth and depth** can still be done.



Present limitations and possible future developments

- What we have described holds for the **generalization of extremal charged BHs** (intersection of 4 stacks of D3 branes) and it corresponds to $SU(2)$ $N_f = 2, 1, 0$.
- However, from the **generality of construction** it is manifest that our method **should apply many other theories**. We can list
 - $SU(2)$ $N_f = (2, 0)$ gauge theory, associated to D1D5 fuzzballs and CCLP 5D BHs, etc.;
 - $SU(2)$ $N_f = 3$ gauge theory, , associated to asymptotically flat Kerr-Newman BH;
 - $SU(2)$ $N_f = 4$ or $SU(2)$ quivers, associated to asymptotically AdS BHs.
- Besides **model generalization**, it is very intriguing to study the application of the integrability structure **beyond the determination of the QNMs** for the study of gravitational solutions (greybody factor, etc.).
- For **AdS BHs** the 3-fold correspondence Integrability/Gauge/Gravity could become 4-fold through **holographic duality to CFT**.

Thank you
for your attention!

